

INVESTMENTS HELD AS AT 31st MARCH 2013

Counterparty	Start Date	Maturity Date	Rate of Interest %	Amount £m	Note
FIXED TERM DEPOSITS					
SUMITOMO MITSUI BANKING CORP	02/01/13	02/04/13	0.47000	10.0	
LLOYDS TSB BANK	30/04/12	11/04/13	3.00000	5.0	
ROYAL BANK OF SCOTLAND	19/04/11	19/04/13	2.40000	2.5	
HSBC	16/05/12	20/05/13	0.50000	17.5	1
LLOYDS TSB BANK	27/07/12	04/07/13	3.00000	2.5	
NATIONWIDE BUILDING SOCIETY	16/01/13	16/07/13	0.59000	5.0	
NATIONWIDE BUILDING SOCIETY	04/02/13	05/08/13	0.60000	5.0	
BARCLAYS BANK PLC	04/02/13	05/08/13	0.59000	6.0	
LLOYDS TSB BANK	17/08/11	16/08/13	2.80000	5.0	
LLOYDS TSB BANK	19/09/11	19/09/13	2.75000	2.5	
BARCLAYS BANK PLC	25/03/13	25/09/13	0.54000	4.0	
SANTANDER UK	28/03/13	30/09/13	0.56000	10.0	
LLOYDS TSB BANK	26/10/12	28/10/13	2.25000	15.0	
ROYAL BANK OF SCOTLAND	16/11/12	15/11/13	1.50000	10.0	
LLOYDS TSB BANK	19/11/12	19/11/13	1.90000	5.0	
ROYAL BANK OF SCOTLAND	18/01/13	17/01/14	0.82000	10.0	
BIRMINGHAM CITY COUNCIL	11/02/13	11/02/14	0.50000	5.0	
ROYAL BANK OF SCOTLAND	05/03/13	05/03/14	0.75000	5.0	
HSBC	26/03/13	26/03/14	0.65000	12.5	
LLOYDS TSB BANK	28/03/13	28/03/14	1.10000	5.0	
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0	
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0	
				<u>167.5</u>	
OTHER					
Payden Sterling Reserve Fund	Instant access account		0.46	15.0	
RBS 95 day notice account	95 day notice			12.5	
Ignis Sterling Liquidity Fund	Instant access account		0.48	6.1	
TOTAL INVESTMENTS AS AT 31st MARCH 2013				<u>201.1</u>	
ICELANDIC BANK DEPOSIT (not included above)				£	
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065	
Less: Dividend received to 31/03/13				<u>-3,931,302</u>	
Principal sum unrecovered as at 31/03/13				<u>1,155,763</u>	
Provision in accounts for non-recovery (12% of total claim)				<u>610,000</u>	

Notes

1. HSBC - deal placed for 1 year @ 3 months Libor + 10 basis points. Rate updated and interest paid quarterly.

APPENDIX 2

INVESTMENTS HELD AS AT 31/03/13

	FROM	TO	RATE	£m	TOTAL £m	LIMIT	REMAINING	Notes
UK BANKS								
HSBC BANK plc	16/05/12	20/05/13	0.50000	17.5				1
HSBC BANK plc	26/03/13	26/03/14	0.65000	12.5	30.0	30.0	0.0	
BARCLAYS BANK PLC	25/03/13	25/09/13	0.54000	4.0				
BARCLAYS BANK PLC	04/02/13	05/08/13	0.59000	6.0	10.0	10.0	0.0	
SANTANDER UK	28/03/13	30/09/13	0.56000	10.0	10.0	10.0	0.0	
LLOYDS TSB BANK	30/04/12	11/04/13	3.00000	5.0				
LLOYDS TSB BANK	27/07/12	04/07/13	3.00000	2.5				
LLOYDS TSB BANK	17/08/11	16/08/13	2.80000	5.0				
LLOYDS TSB BANK	19/09/11	19/09/13	2.75000	2.5				
LLOYDS TSB BANK	26/10/12	28/10/13	2.25000	15.0				
LLOYDS TSB BANK	19/11/12	19/11/13	1.90000	5.0				
LLOYDS TSB BANK	28/03/13	28/03/14	1.10000	5.0	40.0	40.0	0.0	
ROYAL BANK OF SCOTLAND	19/03/13	95 day notice	0.80000	12.5				
ROYAL BANK OF SCOTLAND	19/04/11	19/04/13	2.40000	2.5				
ROYAL BANK OF SCOTLAND	16/11/12	15/11/13	1.50000	10.0				
ROYAL BANK OF SCOTLAND	05/03/13	05/03/14	0.75000	5.0				
ROYAL BANK OF SCOTLAND	18/01/13	17/01/14	0.82000	10.0	40.0	40.0	0.0	
SUMITOMO MITSUI BANKING CORP	02/01/13	02/04/13	0.47000	10.0	10.0	10.0	0.0	
UK BUILDING SOCIETIES								
NATIONWIDE BUILDING SOCIETY	04/02/13	05/08/13	0.60000	5.0				
NATIONWIDE BUILDING SOCIETY	16/01/13	16/07/13	0.59000	5.0	10.0	10.0	0.0	
OTHER LOCAL AUTHORITIES								
BIRMINGHAM CITY COUNCIL	11/02/13	11/02/14	0.50000	5.0	5.0	15.0	10.0	
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0	15.0	15.0	0.0	
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0	10.0	15.0	5.0	
OTHER ACCOUNTS								
Payden Sterling Reserve Fund		Instant access		15.0	15.0	15.0	0.0	
Ignis Sterling Liquidity Fund		Instant access		6.1	6.1	15.0	8.9	
TOTAL INVESTMENTS AS AT 31/03/13					201.1	201.1		
ICELANDIC BANK DEPOSIT (not included above)					£			
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065				
Less: Dividend received to 31/12/12				-3,931,302				
Principal sum unrecovered as at 31/12/12				<u>1,155,763</u>				

Notes

1. HSBC - deal placed for 1 year @ 3 months Libor + 10 basis points. Rate updated and interest paid quarterly.

INVESTMENTS HELD AS AT 30th JUNE 2013

Counterparty	Start Date	Maturity Date	Rate of Interest %	Amount £m
FIXED TERM DEPOSITS				
SUMITOMO MITSUI BANKING CORP	02/04/13	02/07/13	0.49	10.0
LLOYDS TSB BANK	27/07/12	04/07/13	3.00	2.5
NATIONWIDE BUILDING SOCIETY	16/01/13	16/07/13	0.59	5.0
NATIONWIDE BUILDING SOCIETY	04/02/13	05/08/13	0.60	5.0
BARCLAYS BANK PLC	04/02/13	05/08/13	0.59	6.0
LLOYDS TSB BANK	17/08/11	16/08/13	2.80	5.0
LLOYDS TSB BANK	19/09/11	19/09/13	2.75	2.5
BARCLAYS BANK PLC	25/03/13	25/09/13	0.54	4.0
SANTANDER UK	28/03/13	30/09/13	0.56	10.0
LLOYDS TSB BANK	26/10/12	28/10/13	2.25	15.0
ROYAL BANK OF SCOTLAND	16/11/12	15/11/13	1.50	10.0
LLOYDS TSB BANK	19/11/12	19/11/13	1.90	5.0
ROYAL BANK OF SCOTLAND	18/01/13	17/01/14	0.82	10.0
BIRMINGHAM CITY COUNCIL	11/02/13	11/02/14	0.50	5.0
ROYAL BANK OF SCOTLAND	05/03/13	05/03/14	0.75	5.0
HSBC	26/03/13	26/03/14	0.65	12.5
LLOYDS TSB BANK	28/03/13	28/03/14	1.10	5.0
LLOYDS TSB BANK	11/04/13	11/04/14	1.10	5.0
HSBC	20/05/13	20/05/14	0.65	17.5
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85	15.0
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85	10.0
				<u>165.0</u>
OTHER				
Payden Sterling Reserve Fund	Instant access account			15.0
RBS 95 day notice account	95 day notice			15.0
Prime Rate Sterling Liquidity Fund	Instant access account			15.0
Ignis Sterling Liquidity Fund	Instant access account			15.0
Insight Sterling Liquidity Fund	Instant access account			15.0
Morgan Stanley Sterling Liquidity Fund	Instant access account			15.0
Legal & General Sterling Liquidity Fund	Instant access account			4.1
TOTAL INVESTMENTS AS AT 30th JUNE 2013				<u>259.1</u>
ICELANDIC BANK DEPOSIT (not included above)				£
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065
Less: Dividend received to 30/06/13				<u>-3,931,302</u>
Principal sum unrecovered as at 30/06/13				<u>1,155,763</u>
Provision in accounts for non-recovery (12% of total claim)				<u>610,000</u>

APPENDIX 4

INVESTMENTS HELD AS AT 30/06/13

	FROM	TO	RATE	£m	TOTAL £m	LIMIT	REMAINING
<u>UK BANKS</u>							
HSBC BANK plc	26/03/13	26/03/14	0.65	12.5			
HSBC BANK plc	20/05/13	20/05/14	0.65	17.5	30.0	30.0	0.0
BARCLAYS BANK PLC	04/02/13	05/08/13	0.59	6.0			
BARCLAYS BANK PLC	25/03/13	25/09/13	0.54	4.0	10.0	10.0	0.0
SANTANDER UK	28/03/13	30/09/13	0.56	10.0	10.0	10.0	0.0
LLOYDS TSB BANK	27/07/12	04/07/13	3.00	2.5			
LLOYDS TSB BANK	17/08/11	16/08/13	2.80	5.0			
LLOYDS TSB BANK	19/09/11	19/09/13	2.75	2.5			
LLOYDS TSB BANK	26/10/12	28/10/13	2.25	15.0			
LLOYDS TSB BANK	19/11/12	19/11/13	1.90	5.0			
LLOYDS TSB BANK	28/03/13	28/03/14	1.10	5.0			
LLOYDS TSB BANK	11/04/13	11/04/14	1.10	5.0	40.0	40.0	0.0
ROYAL BANK OF SCOTLAND	19/03/13	95 day notice	0.80	15.0			
ROYAL BANK OF SCOTLAND	16/11/12	15/11/13	1.50	10.0			
ROYAL BANK OF SCOTLAND	18/01/13	17/01/14	0.82	10.0			
ROYAL BANK OF SCOTLAND	05/03/13	05/03/14	0.75	5.0	40.0	40.0	0.0
SUMITOMO MITSUI BANKING CORP	02/04/13	02/07/13	0.49	10.0	10.0	10.0	0.0
<u>UK BUILDING SOCIETIES</u>							
NATIONWIDE BUILDING SOCIETY	16/01/13	16/07/13	0.59	5.0			
NATIONWIDE BUILDING SOCIETY	04/02/13	05/08/13	0.60	5.0	10.0	10.0	0.0
<u>OTHER LOCAL AUTHORITIES</u>							
BIRMINGHAM CITY COUNCIL	11/02/13	11/02/14	0.50	5.0	5.0	15.0	10.0
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85	15.0	15.0	15.0	0.0
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85	10.0	10.0	15.0	5.0
<u>OTHER ACCOUNTS</u>							
Payden Sterling Reserve Fund	Instant access account			15.0	15.0	15.0	0.0
Prime Rate Sterling Liquidity Fund	Instant access account			15.0	15.0	15.0	0.0
Ignis Sterling Liquidity Fund	Instant access account			15.0	15.0	15.0	0.0
Insight Sterling Liquidity Fund	Instant access account			15.0	15.0	15.0	0.0
Morgan Stanley Sterling Liquidity Fund	Instant access account			15.0	15.0	15.0	0.0
Legal & General Sterling Liquidity Fund	Instant access account			4.1	4.1	15.0	10.9
TOTAL INVESTMENTS AS AT 30/06/13					259.1	259.1	
ICELANDIC BANK DEPOSIT (not included above)					£		
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065			
Less: Dividend received to 30/06/13				-3,931,302			
Principal sum unrecovered as at 30/06/13				1,155,763			